### GENERAL MEETING OF THE BOARD OF DIRECTORS OF THE CENTRAL TEXAS REGIONAL MOBILITY AUTHORITY

#### RESOLUTION NO. 09-71

#### Addition of Gilford Securities, Inc. to the List of Approved Brokers/Dealers

WHEREAS, the Central Texas Regional Mobility Authority ("CTRMA") was created pursuant to the request of Travis and Williamson Counties and in accordance with provisions of the Transportation Code and the petition and approval process established in 43 Tex. Admin. Code § 26.01, et. seq. (the "RMA Rules"); and

WHEREAS, the Board of Directors of the CTRMA has been constituted in accordance with the Transportation Code and the RMA Rules; and

WHEREAS, in Resolution No. 05-04, dated January 5, 2005, the CTRMA Board of Directors approved an Investment Policy to ensure that CTRMA funds are invested effectively and wisely; and

WHEREAS, the Investment Policy specifies that the Chief Financial Officer of the CTRMA shall maintain a list of approved brokers/dealers authorized to provide investment services to the CTRMA; and

WHEREAS, in Resolution No. 05-16, dated January 26, 2005, the Board of Directors approved a list of authorized brokers/dealers and provided that such list may be amended from time to time in accordance with the CTRMA Investment Policy; and

WHEREAS, the Chief Financial Officer has recommended that the CTRMA's list of approved brokers/dealers be amended to include Gilford Securities Inc.

NOW THEREFORE, BE IT RESOLVED, that the Board of Directors of the CTRMA hereby amends the list of approved brokers/dealers in accordance with the Investment Policy to include Gilford Securities Inc.

Adopted by the Board of Directors of the Central Texas Regional Mobility Authority on the 28th day of October, 2009.

Submitted and reviewed by:

Tom Nielson

General Counsel for the Central Texas Regional Mobility Authority Approved:

James H. Mills

Vice-Chairman, Board of Directors

Resolution Number 09-71

Date Passed: 10/28/09

### GILFORD SECURITIES INCORPORATED AND SUBSIDIARIES

CONSOLIDATED STATEMENT
OF FINANCIAL CONDITION

**DECEMBER 31, 2008** 



#### Citrin Cooperman & Company, LLP

CERTIFIED PUBLIC ACCOUNTANTS

#### INDEPENDENT AUDITORS' REPORT

To the Board of Directors Gilford Securities Incorporated

We have audited the accompanying consolidated statement of financial condition of Gilford Securities Incorporated (the "Company") as of December 31, 2008. This consolidated financial statement is the responsibility of the Company's management. Our responsibility is to express an opinion on this consolidated financial statement based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated statement of financial condition is free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the consolidated statement of financial condition, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall statement of financial condition presentation. We believe that our audit of the consolidated statement of financial condition provides a reasonable basis for our opinion.

In our opinion, the consolidated statement of financial condition referred to above presents fairly, in all material respects, the financial position of Gilford Securities Incorporated as of December 31, 2008, in conformity with accounting principles generally accepted in the United States of America.

As discussed in Note 2 to the consolidated financial statements, effective January 1, 2008, the Company adopted Statement of Financial Accounting Standards ("SFAS") No. 157, "Fair Value Measurements."

February 25, 2009

### GILFORD SECURITIES INCORPORATED AND SUBSIDIARIES NOTES TO CONSOLIDATED STATEMENT OF FINANCIAL CONDITION DECEMBER 31, 2008

#### NOTE 1. ORGANIZATION

Gilford Securities Incorporated (the "Company") is a broker-dealer registered with the Securities and Exchange Commission ("SEC") and is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA"). The Company was formed on September 24, 1979. The Company provides brokerage services to both institutional and individual investors and, as required by Rule 15c3-3, clears their securities transactions on a fully disclosed basis through a clearing broker-dealer. The clearing broker carries all of the customer accounts and maintains and preserves all related books and records. The Company also conducts underwriting and corporate finance activities.

#### NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### Principles of Consolidation

The accompanying consolidated statement of financial condition includes the financial condition of Gilford Securities Incorporated, Gilford Financial Corporation, and Gilford Insurance Agency Incorporated. All significant intercompany accounts and transactions have been eliminated in consolidation.

#### Use of Estimates

The preparation of a consolidated statement of financial condition in conformity with accounting principles generally accepted in the United States of America requires the Company's management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the consolidated statement of financial condition. Actual results could differ from those estimates.

#### Securities Transactions

Principal transactions are recorded on a trade-date basis.

Amounts receivable and payable for securities transactions that have not reached their contractual settlement date are recorded net on the consolidated statement of financial condition.

#### Revenue Recognition

Commission revenues associated with transactions in securities are recorded on a tradedate basis. Investment banking revenues include fees arising from securities offerings in which the Company acts as an underwriter or agent, net of syndicate expenses, along with fees earned from providing financial advisory services. Investment banking fees are recognized at the time the transaction is consummated. Corporate finance revenues represent fees earned from private placement offerings. Corporate finance fees are recorded as revenue when the related service has been rendered and the client is contractually obligated to pay.

#### Cash and Cash Equivalents

Cash and cash equivalents consist primarily of cash on deposit, money market accounts, and investment grade commercial paper that are readily convertible into cash and purchased with maturities of three months or less.

## GILFORD SECURITIES INCORPORATED AND SUBSIDIARIES NOTES TO CONSOLIDATED STATEMENT OF FINANCIAL CONDITION DECEMBER 31, 2008

#### NOTE 4. CONTINGENCIES

The Company is subject to various regulatory and legal proceedings arising during the ordinary course of operations. Management of the Company, after consultation with outside legal counsel, believes that the ultimate resolution of these matters will not have a material adverse effect on the Company's consolidated financial condition.

#### NOTE 5. NET CAPITAL REQUIREMENTS

The Company is subject to the Securities and Exchange Commission Uniform Net Capital Rule ("SEC Rule 15c3-1"), which requires the maintenance of minimum net capital and that the ratio of aggregate indebtedness to net capital, both as defined, shall not exceed 15 to 1. Net capital and aggregate indebtedness change from day to day. At December 31, 2008, the Company had net capital of \$4,539,488, which exceeds the Company's minimum net capital requirement of \$250,000. The Company's ratio of aggregate indebtedness to net capital was 0.38 to 1 as of December 31, 2008.

#### NOTE 6. RELATED-PARTY TRANSACTIONS

The Company and other entities affiliated with certain principal shareholders of the Company share office space, personnel and other administrative expenses. Substantially all of these expenses are borne by the Company.

At December 31, 2008, the Company had four loans from employees outstanding, one of the loans is for \$925,000 and is due December 31, 2009, with an annual interest rate of 5%.

#### NOTE 7. LEASE COMMITMENTS

The Company leases their main office and other sales offices under non-cancelable leases expiring through December 2015. At December 31, 2008, future minimum annual lease commitments under all operating leases are as follows:

Year ending December 31:		
2009	\$	855,151
2010		645,299
2011		635,315
2012		524,600
2013		524,600
Thereafter	<u>_</u>	1,049,200
	\$_	4,234,165

# GILFORD SECURITIES INCORPORATED AND SUBSIDIARIES NOTES TO CONSOLIDATED STATEMENT OF FINANCIAL CONDITION DECEMBER 31, 2008

#### NOTE 11. SHAREHOLDERS' EQUITY

Pursuant to the terms of a shareholder agreement, in the event of a shareholder's termination of employment with the Company or the death of a shareholder, for a period of 60 days the Company may redeem all or any part of the common shares owned by such shareholder. The amount to be paid is based on the shareholder's equity per share, as defined in the agreement. If the Company elects not to purchase the shares, then for a period of 30 days an affiliate has the same rights with respect to the shares. If neither the Company nor the affiliate elect to purchase the shares, then commencing on the earlier to occur of 105 days after (1) the employees' termination or (2) the date the Company obtains actual notice of the death of a shareholder, for a period of 30 days the shareholder (or his or her representative) has the right, after notice to the Company, to require the Company to purchase such common shares based on a calculation of shareholders' equity per share, as defined in the agreement.

During 2008, the Company purchased 830 shares of common stock from shareholders for total consideration paid of approximately \$745,100.

In addition, during 2008 the Company sold and issued 585 shares of common stock for total proceeds of approximately \$506,500.

During 2008, the Company paid to their shareholders cash dividends of \$2,501,000.

#### NOTE 12. FAIR VALUE MEASUREMENTS

On January 1, 2008, the Company adopted the provisions of SFAS No. 157, "Fair Value Measurements." Using the provisions within SFAS No. 157, the Company has characterized its investments in securities, based on the inputs used to value the investments, into a three-level fair value hierarchy. The fair value hierarchy gives the first order of liquidity to quoted prices in active markets for identical assets or liabilities [Level 1], and the last order of liquidity to unobservable inputs [Level 3]. If the inputs used to measure the investments fall within different levels of the hierarchy, the categorization is based on the lowest level input that is significant to the fair value measurement of the investment.

Investments recorded in the consolidated statement of financial condition are categorized based on the inputs to valuation techniques as follows:

Level 1. These are investments where values are based on unadjusted quoted prices for identical assets in an active market the Company has the ability to access. The investments are exchange-traded equity and over-the-counter securities.

Level 2. These are investments where values are based on quoted prices in markets that are not active or model inputs that are observable either directly or indirectly for substantially the full term of the investments. These investments would be comprised of less liquid restricted securities and warrants that trade less frequently.

September 22, 2009

Bill Chapman Central Texas Regional Mobility Authority 301 Congress, Suite 650 Austin, TX 78701

Dear Bill:

Enclosed please find a signed copy of the CTRMA investment policy certification form. Also, I completed the questionnaire and included a power of attorney page along with the new account form. If you would like to open an account with us, simply sign the new account from and fill in the CTRMA Tax ID #. You don't need to do anything else. Gilford Securities doesn't do discretionary accounts so the Power of Attorney page is not necessary but was mentioned in the CTRMA questionnaire. I've requested our financials be mailed from our New York office and you should have those in a few days. Thank you for your consideration and please let me know if there is anything else we need to do.

Sincerely, Twe New

Steve Neri

Sr. Vice President

Institutional Fixed Income

Please describe a typical transaction between the Authority and your firm.
 Note deadlines or cut off times involved.

Once the CTRMA gives Gilford Securities the order to purchase or sell an investment on their behalf, Gilford works with various dealer firms to secure the best price and execution. In a purchase order Gilford uses its own credit to secure the security until funds are delivered from client on a DVP basis. In a sell order, Gilford obtains best price available and delivers the CTRMA security to buyer on a DVP basis. As company policy, Gilford Securities does not maintain any discretionary accounts and all trades are cleared with client before initiated. Orders can be given to Gilford Securities representative Monday – Friday 7:30am – 4:30pm CST

12. Do you clear through another firm? If so, what firm?

( ) No (X) Yes First Clearing LLC

13. Has your firm ever been subject to a regulatory or state or federal agency investigation for alleged improper, fraudulent, disreputable or unfair activities related to the sale of government securities or money market instruments? Have any of your employees ever been so investigated? Explain.

(X)No ()Yes

#### **New Account Information**

*		New C. Sub date C. Firm#	Branch Code	FA Code	Sumber
Ac	count Registration	HER PART		THE PARTY OF THE P	=0.050EE01U(550E0000
	Line 1 Central	Texas	Regional	Mobility	Author: tu
			THE T	(7)	
	Lines   301 Congr	ess,	516,6	30	
	Lines AUSTIN,	TX	78701	07 00	19
		-			
	Line 4	***		C 1 - 200 C 10	
Fi	st Name   15; /		Middle Last	abutation: This. This. T	Ms.
	Correspondence to the Client will b Address	e mailed to the a	ddress below 5	alutation: C.M. C.Mrs. C.	MIS.
0-070-000	Line 1			was a special const	
1.0	City	- 1		State Zip	.
Tax ID	SSN or		Ciszenship: . U.S. Cilizen	CAL	untry
-	Tax ID ]		Resident A	In CT-13 CC	7 6440
Hem	e Phone		Business I	bose   512 99	6 9110
Far	Number		Other N	umber	
	ividual Account Types	- 100	Non-Personal Account T	vpes t	Hargin Cash Information
177724	ndvidual	- 1	C Corporate		
Г	Custodian/Minor		☐ Non-Corporate/Non-Profit		Margin C
	Guardian (must attach appointment) Sobe Proprietorship		☐ Trust ☐ Partnership		Cash [
r	300 min - 100 mi		E Investment Club		
	loint Tenants with Rights of Sun/vorship*		Estate (Centificate of Qualificati	Son must be attached/AF day t of Domole m.	, el be pto de ŝ
	Fenants in Common Joint Tenants — Community Property of required to	urmur Ortalisa)	Duc /	'-	
	Tenants by Enfroly (I permit of by your State (no.)	d lear entirent	Rother Cos	, ,	
C.	103(b) Retirement Plan		Check One: Trustee Di	Plan (IRAs and ESOPs are not eligible rected Prototype	1
"If to	is ar more owners, Joint Tenants with Rights of Sur elected automatically if you fell to select one of the r	wireeship will above.	Participant		
-	nding instructions	A STATE OF THE PARTY OF THE PAR	ne following pages for co	des to be entered)	10 10 10 10 10 10 10 10 10 10 10 10 10 1
	Stock Trade Balance Divid				egory Trans 001 Code Level
Instru	ctions Instructions Instructi	ions Princ	pai remest part	Instructions Re-Invest	
Other	Brokerage Accounts? [ Yes   No				
	"Yes", Firm Names:				
Inv	estment Objectives	1900 - 150 M	Stowan Kell Fre	S R TO LET LET	
10	Choose One.   Investment Objecti	7.6	Risk Talerance Conservative	Internet Use On A Income + Cons	
	See reverse C. Growth		☐ Moderate	G Income + Mod.	Term B Growth & Inc + Cont.
	Growth & Income		C Long Term	L Trading & Spec H Growth + Cons	culation D Growth & Inc + Mod. K Growth & Inc + Long Ten
Add	itional Account Authorization	No.		Additiona	Documentation is Required, DOCT
Wille	nyone else have authority over this account?	Yes D	(No		
	If "Yes", indicate type of Power of Attorney (Po	OA): [ Full	Limited Discretiona	Ŋ	20 200 0000 000
	POA Name				
92.50		£			
Prin	nary Account Owner Information		e following pages for co		DAML (screen!)
	ENTER LEGAL RESIDENCE IF DIFFERENT	FROM REGISTRATI		tos Name Type:	□ Individual □ Non-Personal
Pinnan Fin	Owner I Name		Afidde Last		
and i	Name ddress				
	Part 1				
	Line 2		average and a	1 2	
	Caly	150		State Zip	-
Ca	intry of	Country of		Government Code	
Citi	enship I	Residency		1D	
	Itate of Issue	Insue Date	1	Expiration Date	
Ric	h Date / / /	Employer Name	of Flatead complete questions based on from retord from		
	ddress	realist.	and decorations.		
En	oployer [				
	City	11/2011/19	8	State Zip +4	•
	4-60 T-50 T-50 T-50 T-50 T-50 T-50 T-50 T-5				

Have the Top province Bloto entree Advanced to the property of th receiving assertance with a large proposition of higher risk investments, because the or she has a long later. The herizon and seeks the higher land procedure of second procedure of later approximate to the combined for extremed Objective and Risk Televance is procedured. The procedure of later approximate to the combined for extremed Objective and Risk Televance is procedured by the combined Objective and Risk Televance is procedured by the combined objective and Risk Televance is procedured by the combined Objective information before an extreme amount of more equivalent and approximate income involves investing a section of the combined objective information in the combined object in the count status, they are play higher risk, more agreeable whether as a synthal presentation or received. In some of the second status are showned to be a supplicated presentation or received in the second status. They are status are showned to be a supplicated presentation of the second status are showned to be a supplicated presentation. They are extend to be a supplicated plant and became received and became in such as a supplicated plant and became are showned to be a supplicated and became are showned and became in such as a supplicated and became are showned and became in such as a supplicated and became in such as a supplicated and became in such as a supplication of the second. But a supplication of the second in such as a supplication of the supplication of the second in such as a supplication of the second in the second paying equives, may be the primary assets in the account.

Host binance Objection Greenthis Greenth Investors do not seek account from a and time primary objective is capital appreciation.

His to binances - Consentation Consentation of Constitution seek maximum greatly exhibited with a related by uncleast degree of talk. They are writing to accept hower primarile strains in each acque to take the Equiver may be a significant primary again in discount in each acque to the account.

Risk tolerance to Long Team Consentation is seek to believe the action of the primary asset in the account.

Risk tolerance to Long Team Consentation in each acque to the potential disk of capital loss with their good of higher primary and the long team as the primary asset in the account.

Risk tolerance to Long Team Consentation is seek a significant house the disposit, are forecastly able and writing to fish being a solidated proton of the members and the seek acque to th C Cash dividends will be paid for this account unless the security is coded for retirestructed on RDIV.

Dividends will not be reinvested for this account; cash dividends will be paid for all new trades, Note: RIN 118 Investment Club 117 Insurance Company Stock Instructions Register in customer name and mail 4 Register in street name and hold 5 Delivery Vs. Payment 118 investment Clan
117 insurence Company
118 Muhuaf Fundildoney Manager
119 Personal Trust
120 WS Prototype Retirement Plan
121 Retirement Trust/Pension, Fundit Sharing Plan
121 Retirement Trust/Pension, Fundit Sharing Plan
122 Mon-Corporate/Retiglous/Non-Profit
123 Uniform Gill/Transfer to Minor default.

R Dividends will be reinvested for all new trades for this Trade Balance Instructions A Pay not credit bala B Pay and Pay C Hold all balances account, unless the security is coded for cash dividends on RDIV. Dividend Instructions (DIV) Account Category Codes
101 Individual US Citizen/Resident Alien 125 Numbered Account Sami-Weekly 102 Sole Proprietorship 103 Guardian/Conservator/Committee 126 Non-FCC IRA 127 ERISA (Investment Advisor Use only) 131 Personal DVP 132 Education IRA Mail Monthly 6 Credit Type 2 Pay Type 1 Semi-Weekly 7 Credit Type 2 Pay Type 1 Monthly 104 Joint 165 Prime Broker 133 403(b) Refirement Account 140 Individual Non-Resident Afien with Treaty 141 Individual Non-Resident Afien, no Treaty 106 Corporation 107 Limited Liability Company Dividend Relovational
A Relovations and future vades for fig ble positions will be positions and future vades for fig ble positions will be 112 Partnership 113 Bank/Tout Company/Crad Lution positions and future trades for eligible positions will be 112 Partnership 142 Custodes in the Property of the Articles of Incorporation
Articles of Organistion (LC)
Adoption Record
Sigh Certificate
Court Document
Drivers License
Employer ID Card
Foreign ID Card (8 g.
Drivers License, Virs.
Ordination Service
Foreign ID Card (8 g.
Drivers License, Virs.
Ordination Service
Foreign ID Card (8 g.
Drivers License, Virs.
Ordination Service
Foreign ID Card (8 g.
Drivers License, Virs.
Ordination Service
Foreign ID Card (8 g.
Drivers License, Virs.
Ordination Service
Foreign ID Card (8 g.
Drivers License, Virs.
Ordination Service
Government
Hudsstrial
Rother
Hudsstrial
Rother
Hudsstrial
Rother
Hudsstrial
Rother
Tirust

Trust C Business Revenue
D Donatons (Trusts only)
E Sale of Business
F Sale of Real Estate
G Sale of Asset
H Legalfitsurance Settlements
I Asset Appreciation
J Other
K Associated Person

B Post Secondary
Study
Cober Graduate
E Pest Graduate
Study
F Advanced Degree
G Other DL Employer ID Card Foreign ID Card Foreign ID Card 6 g. Drivers Litense, Visa, or Green Card (not a Meeticare Card (not a Meeticare Card Life Insurance Policy Marriage or Divorce Record Millary Records Padmership Agreement Passoord HC J Clergy K Other L Unemployed MD M Refred Passport
Resident Alien ID Card
(Green Card)
School ID Card
Sherill pp N Student P Homemaker Y Broker Follow Up Required Z Not Available ST State Trust Agreement or Trust If the negrappound is related to other accounts, please indicate the account number of the primary account in the household. This field acts as a reminder to input this relationship into the ALLY street. Percentage and the control of the first of the property of the percentage of the per Employees of introducing Firm, their spouse, dependent children, or any other person who is supported directly or indirectly to a material extent by the employee. Also included are accounts in which any of these individuals has a financial or beneficial control or interest such as guardian, custodian, trustee, executor, corporate or legal officer or agent, investment clubs, joint accounts or partnerships. Non-dependent immediate family members of an employee of Introducing Firm which includes: non-dependent children, parents, parents-in-law, brothers or sisters, brothers in law or sisters-in-law, sons-in-law or daughters-in-law, children or other persons supported directly or indirectly to a material extent by any of these individuals, and any accounts in which they have a financial or beneficial control or interest such as guardian, custodian, trustee, executor, corporate or legal officer or agent, investment any accounts in which they have a r clubs, joint accounts or parlnerships. Employees or brokers of other security firms, their dependent accounts and accounts in which they have a financial or beneficial control or interest, such as guardian, custodian, frustee, executor, corporate or legal officer or agent, investment clubs, joint accounts or partnerships. Immediate family members of employees of other security firms. Immediate family members include: parents, parents-in-law, spouse, brothers or sisters, bothers-in-law, or sisters-in-law, consisters-in-law, constitution or other persons supported directly or indirectly to a malarial extent by any of these individuals, and any accounts in which they have a financial or beneficial control or interest such as guardian, custodian, trustee, executor, corporate or legal officer or agent, investment clubs, joint accounts or partnerships. SHIP/MADD Duplicate Statements 1 Duplicate Statements 2 **Duplicate Confirmations 1 Duplicate Confirmations 2** 

September 22, 2009

Bill Chapman Central Texas Regional Mobility Authority 301 Congress, Suite 650 Austin, TX 78701

#### Dear Bill:

Enclosed please find a signed copy of the CTRMA investment policy certification form. Also, I completed the questionnaire and included a power of attorney page along with the new account form. If you would like to open an account with us, simply sign the new account from and fill in the CTRMA Tax ID #. You don't need to do anything else. Gilford Securities doesn't do discretionary accounts so the Power of Attorney page is not necessary but was mentioned in the CTRMA questionnaire. I've requested our financials be mailed from our New York office and you should have those in a few days. Thank you for your consideration and please let me know if there is anything else we need to do.

Sincerely, Twe New

Steve Neri

Sr. Vice President

Institutional Fixed Income

Please describe a typical transaction between the Authority and your firm.
 Note deadlines or cut off times involved.

Once the CTRMA gives Gilford Securities the order to purchase or sell an investment on their behalf, Gilford works with various dealer firms to secure the best price and execution. In a purchase order Gilford uses its own credit to secure the security until funds are delivered from client on a DVP basis. In a sell order, Gilford obtains best price available and delivers the CTRMA security to buyer on a DVP basis. As company policy, Gilford Securities does not maintain any discretionary accounts and all trades are cleared with client before initiated. Orders can be given to Gilford Securities representative Monday – Friday 7:30am – 4:30pm CST

12. Do you clear through another firm? If so, what firm?

( ) No (X) Yes First Clearing LLC

13. Has your firm ever been subject to a regulatory or state or federal agency investigation for alleged improper, fraudulent, disreputable or unfair activities related to the sale of government securities or money market instruments? Have any of your employees ever been so investigated? Explain.

(X)No ()Yes

#### **New Account Information**

	New Update	000	Branch FA Code	Account Number	20 Common
Account 6	Registration		A 698	1 1 1 2 1	<del></del>
Line 1	Central 1	exas Reg	gional Mo	bility Aut	hor: ty
Line 2	301 Congre	10, ST2	. 650		
tine 3	Austin, T.	X 7870	,1		
Line 4				3	
First Name	TB:H	Middle	Lass Chi	apman	
	Correspondence to the Client will be ma	alled to the address below	Salutation:	Mr. C Mrs. C Ms.	
Address Line 1		- 65			
at ID city		7	State	Zip +4	٠١
→ SSN or Tax ID		The state of the s	U.S. Gitizen L. Non- Resident Alien	-Resident Allen Country	
Home Phone			Business Phone 5	12 996	9778
Fax Number			Other Number		THE RESERVE THE PERSON NAMED IN
Individual	Account Types 3		al Account Types		Margin/Cash Inform
☐ Individual ☐ Custodia		Corporate  Non-Corpor	rateltion-Froft		Margin [
☐ Guardian ☐ Sole Prop	grust attach appointment) ndaforebio	☐ Trust ☐ Partnership			Cash [
(TIRA	ents with Rights of Sunivership*	C Investment	Club		
☐ Tenants	in Common	Duc	ال ا	hed'Alf day't of Domicile must be provide	
[ Tenants I	ants — Community Property of required by your by Entirely (4 permitted by your State law)	7	Profit-Sharing Plan (IRAs and	ESOPs are not eligible)	2
A 65- 17-17-18- 40-40	eGrement Plan e owners, Joint Tenants with Rights of Survivos	Check One:	Trustee Directed	C Pyctotype	
be selected a	rutematically if you fell to select one of the above	•	Participant Directed [	C Other ntered)	
Stock Instructions	Trade Balance Dividend	F Hold Divis Principal Reinvest (	dend Money Market RIM) Instructions	Cash Category	Trans 0
town means of	ge Accounts? [ Yes [ No				
	"Yes", Firm Names:				
Investmen	it Objectives	RESEARCH SANDE	OIL PARTIES	10% ( 11 M N N N )	
	investment Objective	Risk Tales D. Conser	realize	Internal Use Only: A Income + Cons.	C Growth + Mod.
for de	efictions Growth & Income	☐ Modera ☐ Long To		<ol> <li>Trading &amp; Speculation</li> </ol>	E Growth + Long Term B Growth & Inc + Cons. D Growth & Inc + Mod. K Growth & Inc + Long T
The second secon	Account Authorization	A STATE OF THE PARTY.	BETS WEST	Additional Documen	tation is Required. DOC
	ise have authority over this account? [  ', indicate type of Power of Attorney (POA):		C Discretionary		
	POAThre	1, rue 1 conte	Consecutary		
Primary Ac	Ecount Owner Information (Pie Enter Legal Respended Different Fact		ages for codes to be en mostle a? O. Box	(Gred) Name Type:   Individua	DAML (screen ii F Non-Personal
Primary Owner First Name		Middle	Last		
Same Name and Address	С				
as Part 1 Address					
Line 2 City			State	Zip	
Country of		Country of	Govern	nment code #	
Citizenship State of		Residency I		10	
Issue		Employer # Retred complete		Expiration Date	
Birth Date Address	[ [ ] [ ] [ ]	Employer Maries specified from reliced from	ı	····	
Employer			1	- 74	
City			State	Zp +4	-

A Torina were a series of the control of the contro	and its your economic remains such as your economic remains such a community.  Conservative theore is made to all the such as high yields bonds and obstate theore is meeting a copy and the such and its contract the such and observe the such and such and such and such as such	or scholors are consistent of the consistent of	now, listed in interest is used approach in your representation that growth in your representation that growth in your representation that growth is not the property of the p	I all the orbital a fraccided in the plane of the proposed plane proposed the proposed plane proposed the proposed plane production with a moderal degree of the above of the proposed plane product, and the proposed plane product, and the proposed product plane product p	note. In control of the control of t	I laws level of I high sind bond on the say that bond of the cay be a reported of the say that bond so experience willing to account of the say that bonds and say that bonds and say that bonds are will say that bonds and say that bonds are s	income in evolver, may be a to		
and the second of the second o	chash physiologous and constraints from measure in security are modern to security as any farm from the physiologous and comments of the constraints of the constrain	a consequent in a consequent i	tide, as a typicary and a trap process, and many the plant of the comment of the plant of the comment of the co	rage of the account, with the account of the accoun	Hyper lisk investments, such as a statistical gradition of investment, each by yield bonds and some each by yield bonds and some each by yield bonds and some each yield bonds and some each by yield bonds and and soling to risk losing a substantile product of yield bonds and soling to risk losing a substantile product of yield bonds and soling to risk losing a substantile product of yield bonds and without any product of yield soling to accept losing planting with the yield by the yield benefit and yield and yield benefit and yield the yield by the yield as a high level of risk, including the yield by the yiel	high girll bond capital, and, did the capital, and, did the capital, and, did the capital and a postion of our application of the girll bonds and girll bonds	is and some equites, may be a that long learn horizon or algorithms that the process of the entire particular		
occount of the property of the	ong Tarm Income investors of the money agreement of the account.  The money agreement of the account of the accoun	es sent a 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	in floard level of incurre, are financial it may offer to the protect of incurre at may offer to the protect of incurre at may be some percentage of the some start in the some percentage of the pe	of y able and writing to dok kinding in.  My har park in a shreater, such a read a park in a shreater, such a read a park in a shreater than a discome contained with a relational manner of the manne	substantial gord on efficient ment as high yield bonds and some eight meathers are whether are whether are whether are whether are whether are whether are which the earliest and soling to risk being a substantial which particular the higher potential spuries. May hear a widing to accept leave potential spuries. May hear a widing to accept leave potential spuries. May hear a widing to accept leave potential spuries are widing to accept leave potential spuries are widing to accept leave potential spuries and the accept leave potential spuries are potential spuries and the accept leave potential spuries and the acceptance of the acceptan	capital, and, du fire, may be a a position effect are willing to acc against a govern du position effect and setting to another and dust in the acc and dust in the acc and a govern a gov	is to their long leient horizon of significant percent per of the event leieume in solde to each cept to vident per private in stip of vident per private private, need made capital, and do not of equation, personally dividend exchange for lower side.		
result et Equities (Equities des Marches) de prima de pri	ac Ground and bloome store Conseinative Obserband I, generally dictioned grujn I, generally dictioned grujn I and the store I are the store of the store I are	Income in the second of the se	contentionarie, but also seek broam institut stack the maximum growth as may be some perceiting of the account in the seek to institute the old at capital too seek to institute the old of growth or facilities promby effective in capital maximum growthy effective in capital maximum growthy effective in capital maximum growth consistent with an au- cration for a growth, are found and may effect higher potential returns, makes through a break range of inve- tigated found of the paid for acts of dividends will be paid for acts of dividends will be paid for acts of dividends will be paid for an infant.  If the paid for all maximum infants, will be paid for all maximum infants, will be paid for all maximum infants. If the paid for all maximum infants, will be paid for all maximum infants, will be paid for all maximum infants. If the paid for all maximum infants, will be paid for all maximum infants. If the paid for all maximum infants will be relinerable cocount, unless the security is indexed on ROW. Sunt Calegory Codes individual USC Chizen/Reside Sodie Poster realsor Comm Joint.	re and capital growth ever time.  Indificome consistent with a reshibitor, the consistent with a reshibitor, the consistent with a reshibitor, and income, are financially attended a agreemative statingful that may lappeciate.  Its preciation in this properties of the consistency modest degree of sixt. It this that goal of higher potential of a fill being a reshibitory modest degree of sixt. It this that goal of higher potential stating as the latter of the consistency for the stating and the consistency for the stating and the consistency fill be read to the consistency for the stating and the consistency for the consistency fill be consistent to the consistency fill be	These investors are writing to Engine or June 2004, They are and income. High yield bonds and and unling to risk being a substanting to risk being a substanting to risk being a substanting potential equation. High being to risk being a substanting potential equations, high workshold potential experies anythe as much as 100% produced a high tend of risk industrial and the second of risk beautions as the second of the se	a postern of our se willing to acc sequites, general dal postion of lar postern dal posterno dal poster	ereptivezere in sufer to ocea- cept to app palential returne in stily dividend paying equities, newthericary hat, and doe to diequities, gamenally dividend escharage for tower sick. court. To their tring term time harviste.		
resilies Espains in the Manager of the Manager of the Property of the Property of the Manager of	Censalistive Crowthands, generally existency processing and a generally existency processing and a general control and a security of the control and a secur	Incore in general properties of the core o	astors seek the maximum growth as may be some percentage of the above may be some percentage of the above may be some specialities of the side of applied to seek the technicities of the control of applied to seek the technicities of the control of applied to the technicity of the control of	nd become consistent with a relative to the consistent with the	and income. High girld bonds and soling to risk being a substantial knowledge of the bonds and soling to risk being a substantial knowledge of the property of	see willing to accome the postern of the account on the account of	cept to see patential return in why dividend paying equities, newthernica; hal, and due to dieputies, garenally dividend each angel for lower side. Commit. to their ting term fine harvists.		
entings a sent of the sent of	Some a work in a wide for a consideration of the account of the provision and force account of the provision	C C C S N C C C C C C C C C C C C C C C	constants of agreement their of greement has been a supported by the second sec	on with highly privation growth- to and history, and fractorily allow a appreciation. Interview attaining the that may lappreciation, interview and of higher principal by after and withing to fall being a to Righer link transfer some attaining to see attraction and within general interview attaining to see the series of the secount unities the sent on ROIV. If it is account cash the with account, cash the with account, cash our all never trades. For this coded for cash	and willing to risk being a substantial willing to risk being a substantial reparent. My higher protected reparent. My higher protected reparent will repare willing to accept loss are primary with actual goods of function at equities may be as much as 1903 produce a high test and on 1903 produce a high test of loss, location of produce a high test of loss, location of the 1904 produce a high test of loss, location of the 1904 produce a high test of loss, location of the 1904 produce of the 1904 produced Trust 120 WS Prototype Relicer 121 Relicement Trust Port 121 Relicement Trust Port 122 Relicement Trust Port 122 Relicement Trust 122 Relicement Trust Port 122 Relicement Port 122 Rel	equite, general set appropriate the second set appropriate set	ang dividend paging equities, not done to dividend divide		
service is a service in the service	of the account, and lace count and l	C C C S N O C A A A A A A A A A A A A A A A A A A	ore seak a significant terel of growth or factors they provide high risk, mon and their provide high risk, mon and their provide high risk and their provide high of capital loss a marked provide an individual seal of provide, an individual seal of provide higher potential returns a may offer higher potential returns and may offer higher potential returns and may offer higher potential returns and the provide higher potential returns a provide higher potential returns a provide higher potential returns a provide higher provides higher properties higher quantum community point.	and income, are financially able a agreeable attribute that may lappeopate in the statical at that may lappeopate in the statical at the stati	and writing to risk being a substanting higher potential spaces. Might be given a congrit loss of particular, the process of t	tial poston of in yield bonds an ential jetures in y asset in the ac phot, and due is of the account of potential for I IRA SIMPLE IRA thaneger meet Plan	nestherics; hat, and due to deputies, garwanily dividend eachange for lower side. Commit. to their time term fine horisto. significant less of investment		
inouth is consistent of the co	mastins do not asset socio.  Gonzarieria de Gonzafrinio.  Gonzarieria de Gonzafrinio.  Geste Gonzafrinio.  Marchael Gonzafrinio.  Marchael Gonzafrinio.  Marchael Gonzafrinio.  Marchael Gonzafrinio.  Meekly  y  y  M. All currents  glate positions will be	C C C S S S S S S S S S S S S S S S S S	and their grimmy elective is each all maintain growth consider with a in a control of the control of the control of the control of the control of gravith, are foreign at may offer higher potential obtains at many offer higher potential of the country is coded for relinvestial offer all no features. In the control of the country is produced with one relinvestial for country is produced by the paid for all no features. In the country is produced to the country in the country in the country is produced to the country in the country in the country is produced to the country in the country in the country in the country is the country in the	lapprouten.  Intrody modest degree of six. T  in the goal of Tigher potenting of  y and and withing to fish being of  it yet not work to the term of  it yet not work to the term of  it interest shappen, which generally  it this account unities the sent on ROIV.  of this account cash  ew trades. Note: RIN  or all new trades for this  coded for cash	they we writing to accept leaver poli- son. Equices may be the pirally what and gloden of invastration equifes may be as each as 100% produce a high leaved of six, includ- tion of the pirally of the pirally of the 114 FCC Traditional/Reth 115 FCC SEP IRA/FCC S 116 Investment Company 118 Mutual Fund Money 1 117 Insurance Company 118 Mutual Fund Money 1 119 Personal Trust 120 IVS Prototype Retirer 121 Retirement Trust Pen 122 Mon-Corporate/Retire	ental returns in y asset in the ac pital, and due in a of the account of potential for IRA SIMPLE IRA Ulaneger meet Plan	euchange for lover rick. Court o Bea' long term time hariston o go front loss of investment		
continue and participation of the Market Land Continue and a series of the Series of the Market Land Continue and a series of the Seri	Conserved to Greeth into Control of the Control of	C C C S S S S S S S S S S S S S S S S S	maintum groub consideré with a since the goland of ask of capital loss with confident level of growth, as in Francisia to may often higher potential returns a consideration of the following the higher potential returns a consideration of the country is coded for returned to the country is coded to the paid for all ne features. When the country is existent with the paid for all ne features, and the country is existent with the returned to the country is existent to the country is existent to the country in the country in the country is consideration. Only the country is consideration to the country in the country is considerable to the country in the country in the country is considerable.	thicking madest degree of als. It is there goal of higher priest of given priests of given	with Equies may be the pirmay, what still golden of investments experies may be a min as 10% of investments experies may be a min as 10% of investment of the investment Chol 117 insurance Company 118 Mutual Fund Money 119 Personal Trust 129 WS Prototype Relient 121 Reterment Trust Pen 122 Men Proporate/Refer 121 Reterment Trust Pen 122 Men Proporate/Refer 122 Men Proporate/Refer 125 Men Proporate/Refer 126 Methods 100 Min Proporate/Refer 127 Methods 100 Min Proporate/Refer 128 Men Proporate/Refer 129 Men	y asset in the account in the account ing potential for in IRA SIMPLE IRA Manager meet Plan	court. In the Park Long Laren Since havings of the Court State of the continued		
Seemi - L	certage of the account, centre are only term (constitution and term of term (constitution) and the constitution are constitution and constitution are constitut	C C C C C C C C C C C C C C C C C C C	co the scient all /ak of reptal loss as control of earling people, as freenant at my offer (place) the scient allows in a property of the scient and a scient and the scient and a scient and the scient and a scient and the scient and a scient wildends will be paid for all as established will be reinvested for coount, unless the security is widends will be reinvested for coount, unless the security is widends on ROW. spurt Category Codes individual US Category Reside Scient Properties of Scient Properties Scient Properties of Scient Properties Scient Properties Scient Scient Properties Scient Scien	th that goal of higher potential gig after and will run jus (alt basing as Higher link investments such a Higher link investments such as Higher link investments in the strand sharpeles, which general, or this account unites the send on ROVY, and for this account, cash ow trades. Note: RIN or all new trades for this coded for cash	with Equies may be the pirmay, what still golden of investments experies may be a min as 10% of investments experies may be a min as 10% of investment of the investment Chol 117 insurance Company 118 Mutual Fund Money 119 Personal Trust 129 WS Prototype Relient 121 Reterment Trust Pen 122 Men Proporate/Refer 121 Reterment Trust Pen 122 Men Proporate/Refer 122 Men Proporate/Refer 125 Men Proporate/Refer 126 Methods 100 Min Proporate/Refer 127 Methods 100 Min Proporate/Refer 128 Men Proporate/Refer 129 Men	y asset in the account in the account ing potential for in IRA SIMPLE IRA Manager meet Plan	court. In the Park Long Laren Since havings of the Court State of the continued		
s and a series of the series o	maritimes assessment cut.  maritimes assessment cut.  maritimes assessment cut.  Weekly by  N. All current gible pastions will be	C C C S N O G G G G G G G G G G G G G G G G G G	at my even him processions and a minum through a bread range of inventional many and the paid for ecurity is coded for reinvexture for each and the reinvexture for each and the paid the pa	r this account unless the same at account unless the sense of ROIV.  If this account unless the sense of ROIV.  If this account cash ow trades. Note: RIM or all new trades for this account cash coded for cash	114 FCC Traditional/Reth 114 FCC Traditional/Reth 115 FCC SEP IRA/FCC S 116 Investment Cub 117 Insurance Company 118 Mutual Fund Library 119 Personal Trust 120 IVS Prolotype Retirer 121 Retirement TrustPen 122 Mon-Corporate/Retire	IRA SIMPLE IRA Ulaneger meet Plan	eign/cent loss of investment		
Semi-1	Weekly y y N. All current plie positions will be	C C S N D D d d d d d d d d d d d d d d d d d	cash dividends will be paid for ecurity is coded for reinvesting will not be reinvesting widends will be paid for all nefault. When the paid paid to count, unless the security is widends on ROW. Solid Paid to College Codes Individual US College Preprietorship Guardian Conservatori Computation Com	or this account unless the sent on ROIV. In Ser this account, cash ew trades. Note: RIN or all new trades for this coded for cash	114 FCC Traditional/Roll 115 FCC SEP IRA/FCC S 118 Investment Club 117 Insurance Company 118 Mutual Fund Money 119 Personal Trust 120 WS Prolotype Reiser 121 Reitement TrustPen 122 Mon-Corporate/Reise	IRA SIMPLE IRA Manager ment Plan	SECULOS DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DE LA COMPANIO DE LA COMPANIO DEL COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANION DEL COM		
Gemi-Vanini Geografia	Weekly ly M. All current gble pastions will be	8 N C d d d R D a d d d d d d d d d d d d d d d d d d	ecurity is coded for reinvestin Nividends will not be reinvestin Nividends will be paid for all na feast. Windends will be reinvested for cocount, unless the security is twidends on ROIV. Sold Salegory Codes landwidual US Citizen/Reside Sole Proprietorship Guardian/Conservator/Comp Joint	nent on RDIV.  Id for this account, cash ow trades. Note: RIN  or all new trades for this coded for cash	115 FCC SEP IRA/FCC S 116 Investment Clob 117 Insurance Company 118 Muluel Fund/Money I 119 Personal Trust 120 WS Prototype Retire 121 Retirement Trust/Pen 122 Non-Corporate/Ret/g	SIMPLE IRA Manager ment Plan	CONTRACTOR CONTRACTOR		
Gemi-Vanini Geografia	Weekly ly M. All current gble pastions will be	8 N C d d d R D a d d d d d d d d d d d d d d d d d d	ecurity is coded for reinvestin Nividends will not be reinvestin Nividends will be paid for all na feast. Windends will be reinvested for cocount, unless the security is twidends on ROIV. Sold Salegory Codes landwidual US Citizen/Reside Sole Proprietorship Guardian/Conservator/Comp Joint	nent on RDIV.  Id for this account, cash ow trades. Note: RIN  or all new trades for this coded for cash	115 FCC SEP IRA/FCC S 116 Investment Clob 117 Insurance Company 118 Muluel Fund/Money I 119 Personal Trust 120 WS Prototype Retire 121 Retirement Trust/Pen 122 Non-Corporate/Ret/g	SIMPLE IRA Manager ment Plan			
Gemi-Vanini Geografia	Weekly ly M. All current gble pastions will be	N D d d d R D a d d d d d d d d d d d d d d d d d d	Widdends will not be reinvesta- kiddends will be paid for all no efault.  Widdends will be reinvested for coount, unless the security is kidends on ROW.  Sunt Category Codes Individual US Citizen/Reside Sole Proprietorship Guardan/Conservator/Conn- Joint	ed for this account; cash ow trades. Note: RIN or all new trades for this accided for cash	116 Investment Club 117 Insurance Company 118 Mutuel Fund/Loney I 119 Personal Trust 120 WS Prototype Refere 121 Retirement Trust/Pen 122 Non-Corporate/Refig	tlaneger ment Plan			
Month occoun for elig A C)	ly N. All current gbie positions will be	AFE 101 102 103 104 105 106 107	isfaut, widends will be relievested for coosint, unless the security is widends on ROW. sunt Category Codes individual US Citizen/Reside Sole Propertieship Guardian/Conservation/Comp. Joint	or all new trades for this coded for cash	118 Mutual Fund Money I 119 Personal Trust 120 WS Prototype Retirer 121 Retirement Trust/Per 122 Non-Corporate/Retig	ment Plan			
Month occoun for elig A C)	ly N. All current gbie positions will be	855 101 102 103 104 105 106 107	ocount, unless the security is bridends on ROIV. sunt Category Codes Individual US Citizen/Reside Sole Proprietorship Guardian/Conservator/Comm Joint	coded for cash	120 WS Prototype Retirer 121 Retirement Trust/Per 122 Hon-Corporate/Retigi				
Month occoun for elig A C)	ly N. All current gbie positions will be	ASE 101 102 103 104 105 106 107	vidends on ROIV.  ount Category Codes Individual US Chizen/Reside Sole Proprietorship Guardian/Conservator/Comm Joint		121 Retirement Trust/Pen 122 Hon-Corporate/Retigi				
Month occoun for elig A C)	ly N. All current gbie positions will be	101 102 103 104 105 106 107	Individual US Citizen/Reside Sole Proprietorship Guardian/Conservator/Comm Joint	nt Alien					
Month occoun for elig A C)	ly N. All current gbie positions will be	102 103 104 105 106 107	Sole Proprietorship Guardian/Conservator/Comm Joint	ntAlea	123 Uniform Gill/Transfer				
Month occoun for elig A C)	ly N. All current gbie positions will be	103 : 104 : 105 : 106 : 107 :	Guardian/Conservator/Comm Joint		125 Numbered Account 126 Non-FCC IRA				
for elig	nt. All current plate pasitions will be	105   106   107		nidee	127 ERISA (Investment A 131 Personal DVP	dvisor Use o	only)		
for etig 1230/3 C)	gible pasitions will be	107			132 Education IRA				
for etig 1230/3 C)	gible pasitions will be	1081	Corporation Limited Liability Company		133 403(b) Retirement Ac 140 Individual Non-Reside		h Treaty		
c) A	na parameter	be 112 Partnership		FWG Cateful			141 Individual Non-Resident Alien, no Treaty 142 Custodian for Non-Resident Alien, with Treaty		
c) A	STREET,	113	Bank/Trust Company/Credt I		143 Custodian for Non-Re	sident Afen	no Treaty		
C)	A Proprietor, Profess		A Agriculture	J Non-Profit	A Savings (from Earn		A High School		
	Managerial		B Consumer & Business	K Personal Investment	B Inheritance	1888	Graduate		
	3 Information Technology Systems	ology	Service Co. C Construction	L Real Estate M Retail	D Donations (Trusts o	nly)	B Post Secondary Study		
C		Worker	D Energy E Financial Services	N Technology	E Sale of Business F Sale of Real Estate		C Two-Year Degree D College Graduate		
E	Administrative, Cle	nical	F Government	O Telecommunications P Transportation	G Sale of Asset		E Post Graduate		
	Peblic Service Personal Service P	Donalday	G Healthcare H Industrial	Q Wholesale R Other	H Legat/Insurance Set I Asset Appreciation		Bludy F Advanced Degree		
H	Unskilled Labor	sanioes	1 Media	S Estate T Trust	J Other K Associated Person		G Other		
j				A TIVE					
d K	Other Unemployed								
M	Retired								
P									
	Broker Follow Up Ri Not Available	Required							
-	The Committee of the Co								
100	e zeolicia.	WHEEL S	STATE OF THE	SCHOOL	RACES TO THE REAL PROPERTY AND THE	SERRE	STEP STATE		
other o	eccounts, please indic	cate the	account number of the prima	ry account in the househo	ld. This field acts as a remir	nder to input	this relationship		
in the color a			edifference en constan			receptance	NORGE SAME PURE		
	- S TOTAL SERVICE SERVICES	-	MERCHANIST COLORS CONTROL COLORS	STALK PROPOSITION OF THE	PARCHIE BONDONER - VICE DUNBOUR	MV19000	de l'alle de la comma		
g Fire	n, their spouse, dep	pendent	children, or any other pers a financial or beneficial cor	on who is supported direct	tly or indirectly to a material	extent by th	na employee, Also		
M CIUD	s, joint accounts or p	aranerah	ips.						
n-law,	sons-in-law or daugh	hters-in-b	we of introducing Firm whi aw, children or other persons	s supported directly or indi-	rectivito a material extent bu	any of thes	be individuals, and		
y nav	ie a financial or bene Nps.	et claif cor	nirol or interest such as gua	irdan, custodian, trustee,	executor, corporate or lega	al officer or a	agent, investment		
other, con	r security firms, the	eir depen	ident accounts and accounts it, investment clubs, joint accounts	s in which they have a fine	ancial or beneficial control o	or interest, s	such as guardian,		
es of	employees of other	security	offens, immediate family me	embers include nacents in:	arents-in-law, spouse, broth	ers or sister	s, brothers-in-law		
RV OF C	daughters-in-law, chill	lidren or o	other persons supported dire st such as guardian, custod	ctly or indirectly to a mate	rial extant by any of these i	individuals a	and any accounts		
3683	(\$4.50.925.51.8h	45(120	NORTH WARRING STREET	nationne en avances	President de la company	enerowen.			
182%	A SHEETERS DOWN	SHAM	With the water				SHIP/MADD		
			Dup	plicate Statements 2			20 10 10 12		
				Masta Cart					
•		10	Dup	MICELY CONTINUATIONS	1 2				
100000	11		11		Duplicate Statements 2  Duplicate Confirmations				